

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Vijay C. CHHABADA et al.

Group Art Unit: 1626

Application Number: 10/553,957

Examiner: Not yet assigned

Filed: October 19, 2005

Confirmation Number: 9977

For:

A PROCESS FOR PREPARATION OF 1-[9H-CARBAZOL-4-

YLOXY]- 3-[{2-(2-(-(METHOXY)PHENOXY)-ETHYL}-AMINO]-

PROPAN-2-OL

Attorney Docket Number:

053229

**Customer Number:** 

38834

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 August 28, 2006

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. This paper is being filed within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

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**Enclosures:** 

PTO/SB/08

1 Document(s)



Combined Form PTO/SB/08A&B

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Comple	ete if Known
Application Number	10/553,957
Confirmation Number	9977
Filing Date	October 19, 2005
First Named Inventor	Vijay C. CHHABADA
Art Unit	1626
Examiner Name	Not yet assigned
Attorney Docket Number	053229

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number		Publication Date	- 4GU 1B	
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Documen	
/GS/	1	US 4,697,022		09-29-1987	Leinert	
	2	US 4,824,963		04-25-1989	Leinert	
	3	US 4,985,454		01-15-1991	Leinert	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	
<del>/GS/</del>	4	IN	186587	A	08-17-1999	M/s. CIPLA LTD.	N/A
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
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Examiner Signature			- ·	.1 1 . 1 . 1

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.